

Lecture II

Black Holes

Recap

Where were we?

General Relativity

Einstein equations

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$$

$$\xrightarrow{\mu=\nu=0}$$

Geodesic equations

$$\frac{dx^\nu}{dz} \nabla_\nu \frac{dx^\mu}{dz} = 0$$

$$\longrightarrow$$

Energy-momentum tensor

$$T_{\mu\nu} \equiv - \frac{2}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{\mu\nu}} - \frac{\Lambda}{8\pi G} g_{\mu\nu}$$

Newtonian Limit

$$ds^2 = -(1+2\Phi) dt^2 + (1-2\Phi) d\vec{x}^2$$
$$|\Phi| \ll 1, \partial_t \Phi = 0, \rho \ll \rho$$

$$\nabla^2 \Phi = 4\pi G \rho$$

$$|\vec{v}| \ll c$$

$$\frac{d^2 \vec{x}}{dt^2} = - \vec{\nabla} \Phi$$

Cosmological constant

$$\frac{\Lambda}{8\pi G} = \rho_{vac}$$

General Relativity

Actions

Einstein equations

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$$



$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R - 2\Lambda) + S_M$$

Geodesic equations

$$\frac{dx^\nu}{dz} \nabla_\nu \frac{dx^\mu}{dz} = 0$$



$$S = -m \int d\tau$$

Energy-momentum tensor

$$T_{\mu\nu} \equiv - \frac{2}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{\mu\nu}} - \frac{\Lambda}{8\pi G} g_{\mu\nu}$$

Cosmological constant

$$\frac{\Lambda}{8\pi G} = p_{\text{vac}}$$

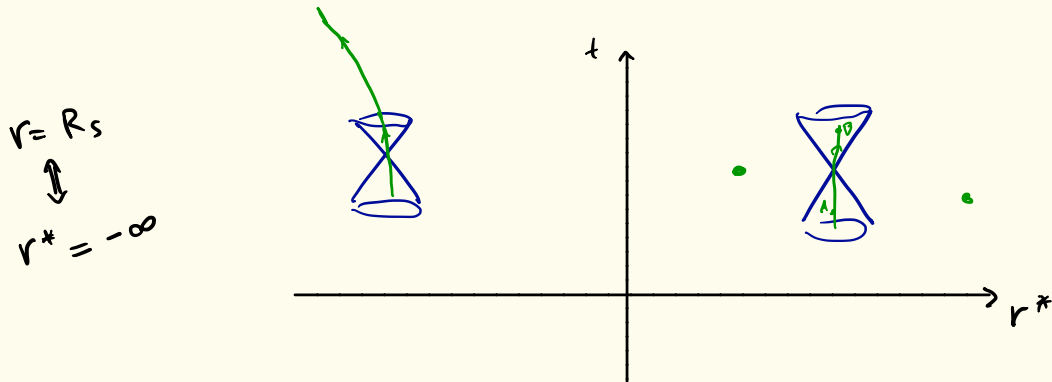
Spherical symmetry + vacuum $\Rightarrow$ The Schwarzschild metric
($T_{\mu\nu}=0$)

$$ds^2 = - \left(1 - \frac{R_s}{r}\right) dt^2 + \frac{dr^2}{1 - \frac{R_s}{r}} + r^2 d\Omega^2$$

$$R_s = 2GM$$

$$r^* = r + R_s \log\left(\frac{r}{R_s} - 1\right) \quad [\text{Tortoise coordinate}]$$

$$ds^2 = \left(1 - \frac{R_s}{r}\right) (-dt^2 + dr^{*2}) + r^2 d\Omega^2$$



Plan for today

- Schwarzschild black holes
- Penrose diagrams
- Stars and black holes

Schwarzschild Black Holes

$$ds^2 = \left(1 - \frac{R_s}{r}\right) (-dt^2 + dr^{*2}) + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

Consider an observer falling radially into a BH

$$U^\mu = \left(\frac{dt}{d\tau}, \frac{dr^*}{d\tau}, 0, 0\right)$$

$$E = -k_\mu U^\mu = \left(1 - \frac{R_s}{r}\right) \frac{dt}{d\tau}$$
$$\partial_t = (1, 0, 0, 0)$$

$$-1 = g_{\mu\nu} U^\mu U^\nu = \left(1 - \frac{R_s}{r}\right) \left[-\left(\frac{dt}{d\tau}\right)^2 + \left(\frac{dr^*}{d\tau}\right)^2\right] \Rightarrow \left(\frac{dr^*}{d\tau}\right)^2 = -\frac{1}{1 - \frac{R_s}{r}} + \frac{E^2}{\left(1 - \frac{R_s}{r}\right)^2}$$

Notice that $r - R_s \approx R_s e^{r^*/R_s}$ for $r - R_s \ll R_s$. Therefore,

$$\left(\frac{dr^*}{d\tau}\right)^2 \approx -e^{-r^*/R_s} + R_s^2 e^{-2r^*/R_s} \underset{r^* \rightarrow -\infty}{\approx} E^2 e^{-2r^*/R_s}$$

$$\Rightarrow \int_{\tau_1}^{\tau_H} d\tau = - \int_{r_1^*}^{-\infty} dr^* \frac{1}{E} e^{r^*/R_s} \Leftrightarrow \tau_H - \tau_1 = \frac{1}{E} e^{r_1^*/R_s} \quad \text{finite!}$$

$\Rightarrow$ observer falls to $r^* = -\infty \Leftrightarrow r = R_s$ in finite proper time.

Schwarzschild Black Holes

Now introduce null coordinates : $\begin{cases} v = t + r^* \\ u = t - r^* \end{cases}$

$$\Rightarrow ds^2 = -\left(1 - \frac{R_s}{r}\right) du dv + r^2 d\Omega^2$$

where r is defined by $\frac{1}{2}(v-u) = r + R_s \log\left(\frac{r}{R_s} - 1\right)$

Next, change to $\begin{cases} v' = e^{v/2R_s} \\ u' = -e^{-u/2R_s} \end{cases} \quad \begin{array}{l} v \in \mathbb{R} \Leftrightarrow v' > 0 \\ u \in \mathbb{R} \Leftrightarrow u' < 0 \end{array}$

$$dv' du' = \frac{dv du}{4R_s^2} e^{\frac{v-u}{2R_s}} = \frac{dv du}{4R_s^2} e^{r/R_s} \left(\frac{r}{R_s} - 1\right)$$

$$\Rightarrow ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} dv' du' + r^2 d\Omega^2$$

Schwarzschild Black Holes

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} dv' du' + r^2 d\Omega^2$$

Finally, introduce Kruskal coordinates

$$T = \frac{v' + u'}{2}$$

$$R = \frac{v' - u'}{2}$$

to obtain

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} \left(-dT^2 + dR^2 \right) + r^2 d\Omega^2$$

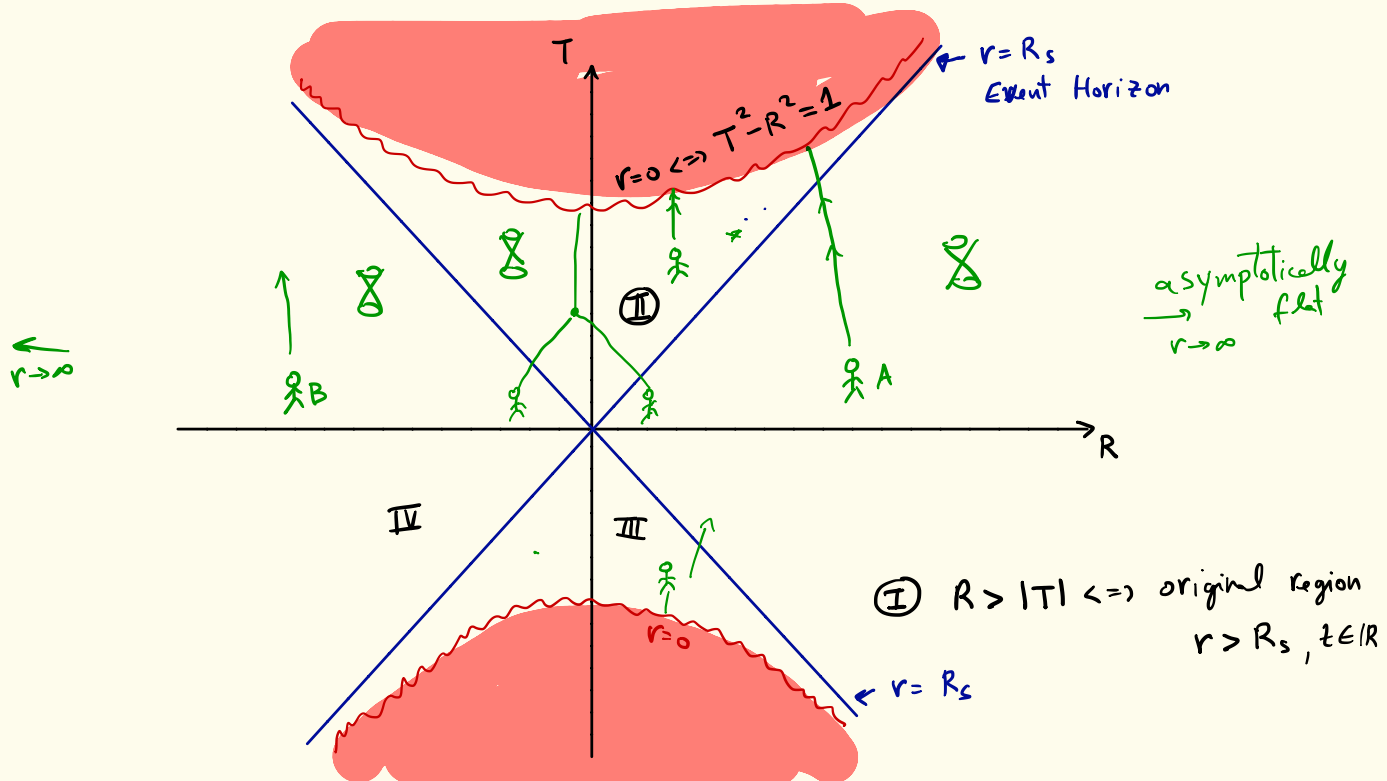
where r is defined by

$$T^2 - R^2 = \left(1 - \frac{r}{R_s} \right) e^{r/R_s}$$

The Kruskal diagram

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} (-dT^2 + dR^2) + r^2 d\Omega^2$$

$$T^2 - R^2 = \left(1 - \frac{r}{R_s}\right) e^{r/R_s}$$



$T=0$: spatial surface with metric

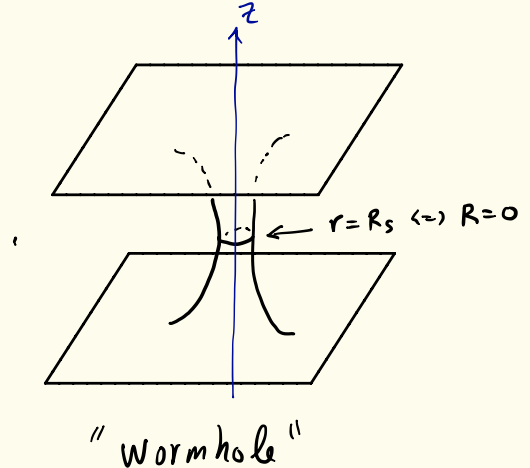
$$ds^2 = \underbrace{\frac{4R_s^3}{r} e^{-r/R_s}}_{\frac{dr^2}{1 - \frac{R_s}{r}}} dR^2 + r^2 d\Omega^2, \quad R^2 = \left(\frac{r}{R_s} - 1 \right) e^{r/R_s}$$

Exercise : Find an isometric embedding of this surface in $\mathbb{R}^4$

$$ds_{\mathbb{R}^4}^2 = dz^2 + dr^2 + r^2 d\Omega^2$$

Find $z(r)$ such that

$$dz^2 + dr^2 = (z'(r)^2 + 1) dr^2 = \frac{dr^2}{1 - \frac{R_s}{r}}$$



Penrose diagrams

In order to picture the entire spacetime, it is convenient to:

- use coordinates that take values in a finite interval;
- Keep light cones at 45° .

Example: Minkowski spacetime

$$ds^2 = -dt^2 + dr^2 + r^2 d\Omega^2$$

$$\begin{cases} u = t-r \\ v = t+r \end{cases} \Rightarrow ds^2 = -du dr + \left(\frac{v-u}{2}\right)^2 d\Omega^2$$

$$\begin{cases} U = \arctan u \\ V = \arctan v \end{cases} \Rightarrow \text{check!} \quad ds^2 = \frac{1}{4 \cos^2 U \cos^2 V} \left[-4 dU dV + \sin^2(U-V) d\Omega^2 \right]$$

$$-\frac{\pi}{2} < U \leq V < \frac{\pi}{2}$$

Finally,

$$\begin{cases} T = V + U \\ R = V - U \end{cases}$$

$$\Rightarrow 0 \leq R < \pi, \quad |T| + R < \pi$$

$$\Rightarrow \text{check} \quad ds^2 = \frac{1}{\omega^2(T, R)} \left[-dT^2 + dR^2 + \sin^2 R d\Omega^2 \right]$$

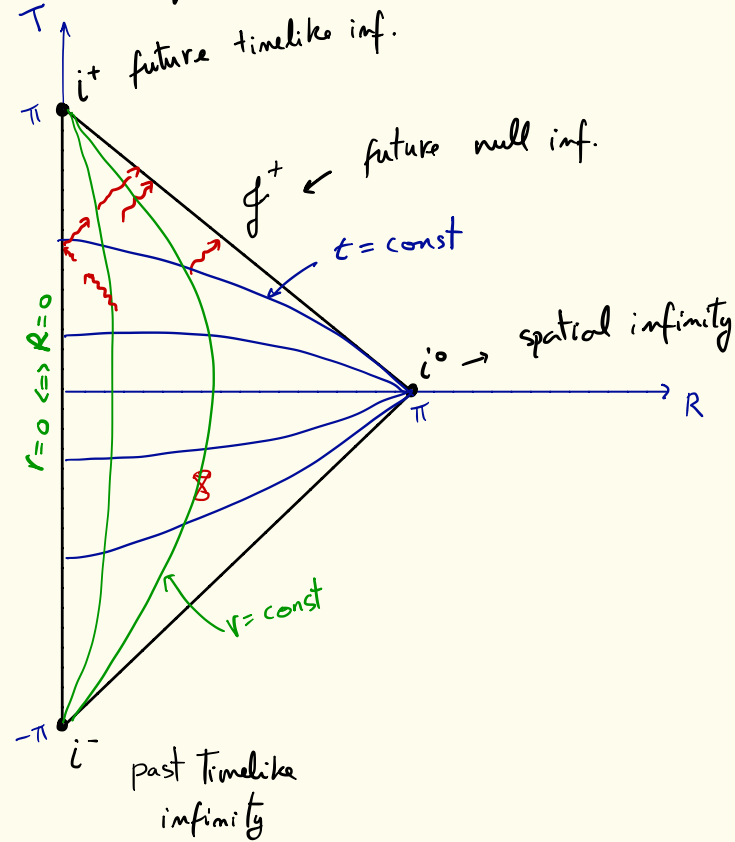
$\uparrow$
 $\omega = \cos T + \cos R$

Let us define the metric

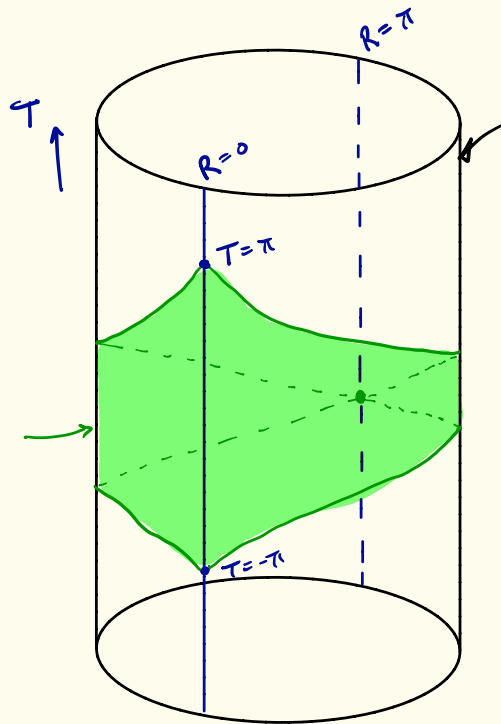
$$d\tilde{s}^2 \equiv \omega^2(T, R) ds^2 = \underbrace{-dT^2 + dR^2 + \sin^2 R d\Omega^2}_{\substack{\text{metric on } \mathbb{R} \times S^3 \\ \uparrow \quad \quad \uparrow \\ T \quad \quad 3\text{-sphere}}}$$

$\rightarrow ds^2$ and $d\tilde{s}^2$ have the same light cones!

Penrose diagram of Minkowski spacetime



$0 \leq R \leq \pi$
 $|T| + R \leq \pi$
conformal
compactification
 of Minkowski
 spacetime



$\mathbb{R} \times S^3 =$ Einstein static
 Universe

Schwarzschild Black Holes

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} dv' du' + r^2 d\Omega^2$$

$$u', v' \in \mathbb{R}$$

$$v' u' = - \left(\frac{r}{R_s} - 1 \right) e^{r/R_s}$$

Define

$$\begin{cases} v'' = \arctan v' \\ u'' = \arctan u' \end{cases}$$

Notice

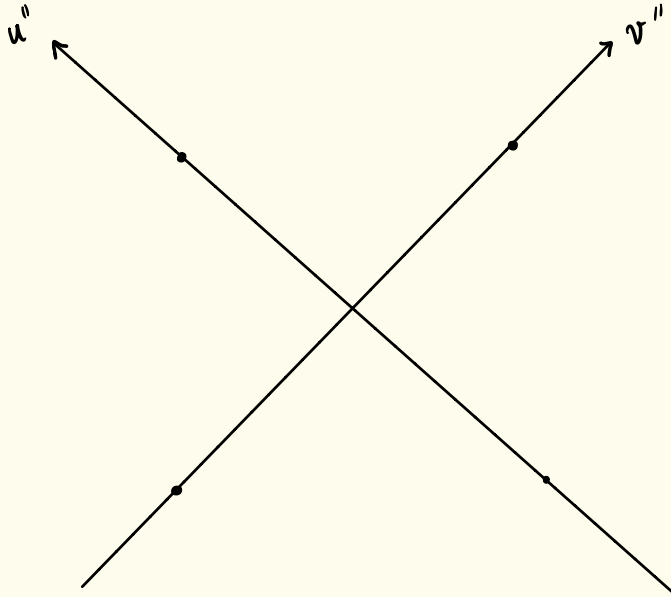
$$\tan(v'' + u'') = \frac{\tan v'' + \tan u''}{1 - \tan v'' \tan u''} = \frac{v' + u'}{1 + \left(\frac{r}{R_s} - 1\right) e^{r/R_s}} \quad \Rightarrow_{r>0} |v'' + u''| < \frac{\pi}{2}$$

Penrose diagram of Schwarzschild Black Hole

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} \frac{dv'' du''}{\cos^2 v'' \cos^2 u''} + r^2 d\Omega^2, \quad \tan u'' \tan v'' = - \left(\frac{r}{R_s} - 1 \right) e^{r/R_s}$$

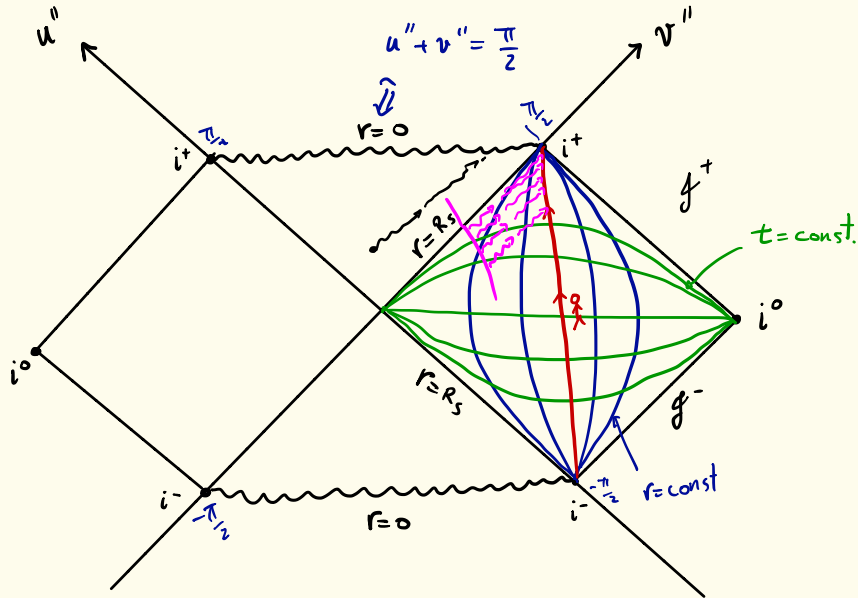
$$-\frac{\pi}{2} < u'', v'' < \frac{\pi}{2}$$

$$|u'' + v''| < \frac{\pi}{2}$$



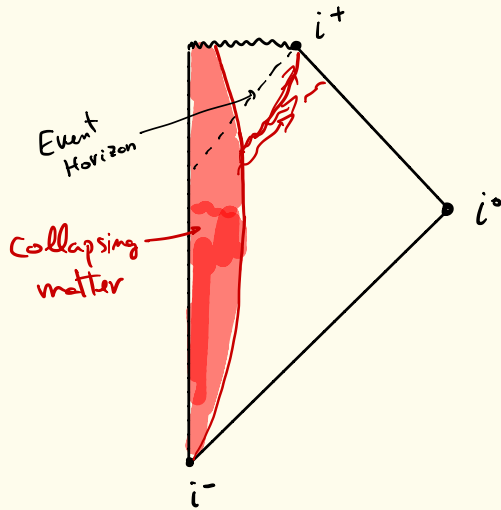
Penrose diagram of Schwarzschild Black Hole

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} \frac{dv'' du''}{\cos^2 v'' \cos^2 u''} + r^2 d\Omega^2, \quad \tan u'' \tan v'' = - \left(\frac{r}{R_s} - 1 \right) e^{r/R_s}$$



Stars and Black Holes

The Penrose diagram of a BH formed by gravitational collapse is different from the one of an eternal BH:



Wisdom of the day

Death may be the greatest of all
human blessings - Socrates

Lecture 12

More general Black Holes

Recap

Where were we?

General Relativity

Actions

Einstein equations

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi G T_{\mu\nu}$$



$$S = \frac{1}{16\pi G} \int d^4x \sqrt{-g} (R - 2\Lambda) + S_M$$

Geodesic equations

$$\frac{dx^\nu}{dz} \nabla_\nu \frac{dx^\mu}{dz} = 0$$



$$S = -m \int d\tau$$

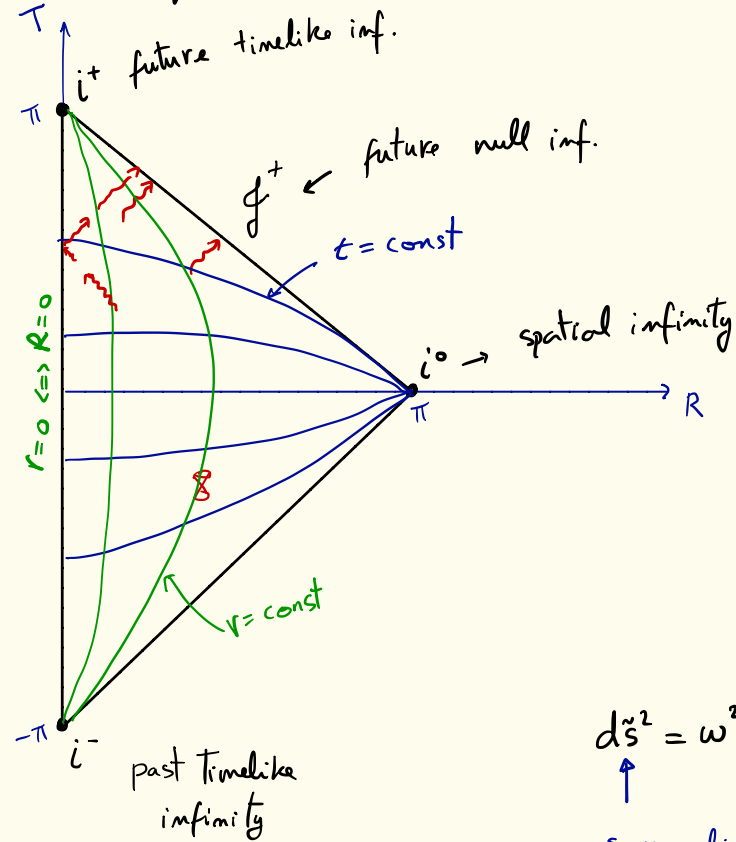
Energy-momentum tensor

$$T_{\mu\nu} \equiv - \frac{2}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{\mu\nu}} - \frac{\Lambda}{8\pi G} g_{\mu\nu}$$

Cosmological constant

$$\frac{\Lambda}{8\pi G} = p_{\text{vac}}$$

Penrose diagram of Minkowski spacetime

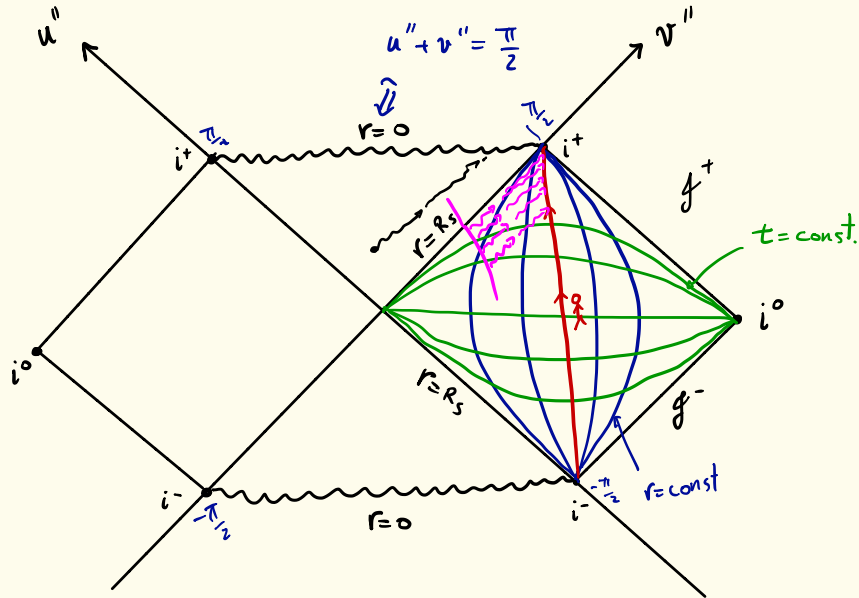


$$d\tilde{s}^2 = \omega^2 ds^2$$

↑ ↑
same light cones

Penrose diagram of Schwarzschild Black Hole

$$ds^2 = \frac{4R_s^3}{r} e^{-r/R_s} \frac{dv'' du''}{\cos^2 v'' \cos^2 u''} + r^2 d\Omega^2, \quad \tan u'' \tan v'' = - \left(\frac{r}{R_s} - 1 \right) e^{r/R_s}$$

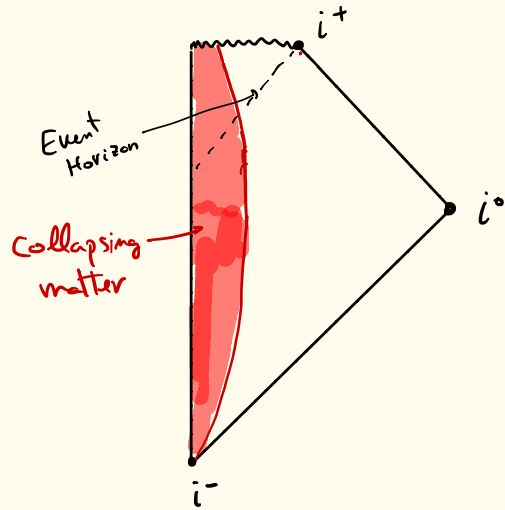


Plan for today

- Stars and black holes
- Charged and rotating black holes
- Some classical theorems
- BH thermodynamics

Stars and Black Holes

The Penrose diagram of a BH formed by gravitational collapse is different from the one of an eternal BH:



Spherically symmetric, static systems

$$ds^2 = - \underbrace{e^{2\alpha(r)}}_{g_{tt}} dt^2 + e^{2\beta(r)} dr^2 + r^2 d\Omega^2$$

Let's model the matter as a perfect fluid:

$$T_{\mu\nu} = (p + \rho) U_\mu U_\nu + p g_{\mu\nu}$$

Static: $U_\mu = (e^\alpha, 0, 0, 0)$ so that $U_\mu U_\nu g^{\mu\nu} = -1$.

Unknowns: $\alpha(r), \beta(r), p(r), \rho(r)$

Exercise: Show that Einstein equations reduce to

$$(tt): \quad \frac{1}{r^2} e^{-2\beta} \left(2r\beta' - 1 + e^{2\beta} \right) = 8\pi G \rho \quad ' \equiv \frac{d}{dr}$$

$$(rr): \quad \frac{1}{r^2} e^{-2\beta} \left(2r\alpha' + 1 - e^{2\beta} \right) = 8\pi G p$$

$$(\theta\theta): \quad e^{-2\beta} \left(\alpha'' + \alpha'^2 - \alpha'\beta' + \frac{\alpha' - \beta'}{r} \right) = 8\pi G p$$

$\Leftrightarrow (\phi\phi)$

Notice that

$$(tt) \Leftrightarrow \underbrace{(r - r e^{-2\beta})'}_{\equiv 2Gm(r)} = 8\pi G r^2 \rho \quad \Leftrightarrow \quad m'(r) = 4\pi r^2 \rho(r)$$

$$\begin{array}{c} \Downarrow \\ e^{-2\beta(r)} = 1 - \frac{2Gm(r)}{r} \end{array}$$

$$\Leftrightarrow \quad m(r) = \int_0^r 4\pi \tilde{r}^2 \rho(\tilde{r}) d\tilde{r}$$

$$(rr) : \quad \frac{d\alpha}{dr} = \frac{G m(r) + 4\pi G r^3 p(r)}{r [r - 2 G m(r)]}$$

Instead of (00) we can use $\nabla_\mu T^{\mu\nu} = 0$:

$$(p+p) \frac{d\alpha}{dr} = - \frac{dp}{dr} \quad \otimes$$

$$\Rightarrow \quad \frac{dp}{dr} = - \frac{(p+p) [G m(r) + 4\pi G r^3 p(r)]}{r [r - 2 G m(r)]}$$

Tolman - Oppenheimer -
Volkoff (TOV) equation

3 ind. EE. + equation of state $\rightarrow \alpha, \rho, p, p$
 $p = p(\rho)$
 $\downarrow$
 m

Simplest model : incompressible fluid

$$\rho(r) = \begin{cases} \rho_* & , r < R \\ 0 & , r > R \end{cases} \Rightarrow m(r) = \begin{cases} \frac{4}{3} \pi r^3 \rho_* & , r < R \\ M \equiv \frac{4}{3} \pi R^3 \rho_* & , r > R \end{cases}$$

TOV eq $\Rightarrow$ check
$$\rho(r) = \rho_* \frac{\sqrt{R^3 - 2GM}r^2 - \sqrt{R^3 - 2GM}r^2}{3\sqrt{R^3 - 2GM}r^2 - \sqrt{R^3 - 2GM}r^2} , r < R$$

$\otimes \Rightarrow e^\alpha = \begin{cases} \frac{3}{2} \left(1 - \frac{2GM}{R}\right)^{1/2} - \frac{1}{2} \left(1 - \frac{2GM r^2}{R^3}\right)^{1/2} & , r < R \\ \left(1 - \frac{2GM}{r}\right)^{1/2} & , r > R \end{cases}$

Notice that $\rho(r=R) = 0$ and $\rho(0) = \rho_* \frac{\sqrt{R} - \sqrt{R-2GM}}{3\sqrt{R-2GM} - \sqrt{R}} > 0 \Rightarrow R > \frac{9}{4} GM$

Buchdahl's theorem : $M < \frac{4R}{9G}$ for static system with no horizon.

Possible static astrophysical objects : $\left[\rho \sim \frac{GM^2}{R} \frac{1}{R^3} \sim \frac{1}{M_p^2} \rho^2 R^2 \right]$

- planets, asteroids

$$\begin{aligned} \rho &\sim m_n (m_e \alpha)^3 \\ \rho &\sim m_e^4 \alpha^5 \end{aligned} \Rightarrow \begin{cases} R \lesssim \frac{1}{\alpha} \frac{M_p}{m_n m_e} \\ M \lesssim m_n \frac{\alpha^{3/2} M_p^3}{m_n^3} \end{cases}$$

- white dwarf

$$\begin{aligned} \rho &\sim m_n m_e^3 \\ \rho &\sim \frac{E = m_e}{v\alpha = \left(\frac{1}{m_e}\right)^3} \sim m_e^4 \end{aligned} \Rightarrow \begin{cases} R \sim \frac{M_p}{m_n m_e} \\ M \sim \rho R^3 \sim m_n \left(\frac{M_p}{m_n}\right)^3 \end{cases}$$

- Neutron star

$$\begin{aligned} \rho &\sim m_n^4 \\ \rho &\sim m_n^4 \end{aligned} \Rightarrow \begin{cases} R \sim \frac{M_p}{m_n^2} \\ M \sim m_n \left(\frac{M_p}{m_n}\right)^3 \end{cases}$$

- ??

- Black hole

$$R \sim \frac{M}{M_p^2}$$

$$M \sim \begin{cases} M_\odot & \text{stellar collapse} \\ (10^6 - 10^9) M_\odot & \text{center of galaxies} \end{cases}$$

Wisdom of the day

Gustav Mahler

Special session by Louis Christoph

More general Black Holes

Charged Black Holes (Reissner-Nordström)

Static and spherically symmetric:

$$ds^2 = -\Delta dt^2 + \frac{dr^2}{\Delta} + r^2 d\Omega^2, \quad \Delta = 1 - \frac{2GM}{r} + \frac{G(Q^2 + P^2)}{r^2}$$

$$F = \frac{1}{2} F_{\mu\nu} dx^\mu \wedge dx^\nu = \underbrace{\frac{Q}{r^2} dt \wedge dr}_{\text{electric charge}} + \underbrace{P \sin\theta d\theta \wedge d\phi}_{\text{magnetic charge}}$$

$$\left[\begin{array}{l} \text{Check that} \\ F \leftrightarrow *F \Leftrightarrow Q \leftrightarrow P \end{array} \right]$$

Rotating Black Holes (Kerr)

$$ds^2 = - \left(1 - \frac{2GMr}{\rho^2} \right) dt^2 - \frac{4GMa r \sin^2 \theta}{\rho^2} dt d\phi + \\ + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2 + \frac{\sin^2 \theta}{\rho^2} \left[(r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta \right] d\phi^2$$

$$\Delta(r) = r^2 - 2GMr + a^2, \quad \rho^2 = r^2 + a^2 \cos^2 \theta$$

$\uparrow$
 $a = \frac{J}{M}$ ← angular momentum

Only two Killing vectors : ∂_t and ∂_ϕ

→ stationary and axisymmetric

Charged Rotating Black Holes (Kerr - Newman)

$$ds^2 = - \left(1 - \frac{\alpha}{\rho^2} \right) dt^2 - \frac{2\alpha a \sin^2 \theta}{\rho^2} dt d\phi + \\ + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2 + \frac{\sin^2 \theta}{\rho^2} \left[(r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta \right] d\phi^2$$

$$\Delta(r) = r^2 - \alpha + a^2$$

$$\alpha = 2GMr + G(Q^2 + P^2)$$

$$\rho^2 = r^2 + a^2 \cos^2 \theta$$

$a = \frac{J}{M}$ ← angular momentum

4- vector potential :

$$A_t = \frac{Qr - Pa \cos \theta}{\rho^2}$$

$$A_\phi = \frac{-Qa r \sin^2 \theta + P(r^2 + a^2) \cos \theta}{\rho^2}$$

$$A_r = 0, \quad A_\theta = 0$$

$$\{M, Q, P, J\}$$

More general Black Holes

No hair theorem : stationary , asymptotically flat black hole solutions to GR coupled to electromagnetism that are non-singular outside the event horizon are fully characterized by their mass , electric and magnetic charge , and angular momentum . $\{M, Q, P, J\}$

$\Rightarrow$ Information loss (paradox)

How to identify the event horizon?

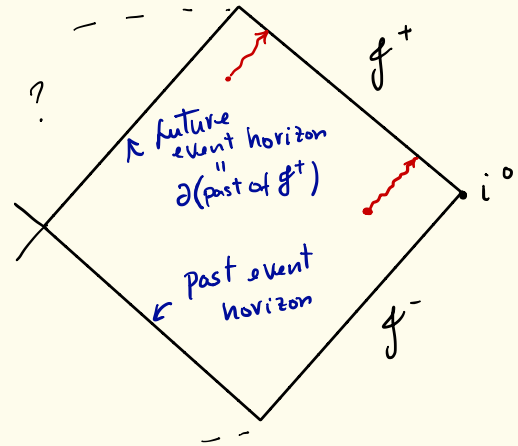
Assuming

- Stationary metric : $\partial_t g_{\mu\nu} = 0$

- asymptotically flat

$$ds^2 \xrightarrow{r \rightarrow \infty} -dt^2 + dr^2 + r^2 d\Omega^2$$

- $r = \text{const}$ surface becomes null at $r = r_H \forall (\theta, \phi)$



Then $r = r_H$ is the horizon

$\partial_\mu r$ is normal
to $r = \text{const.}$ surface

$\rightarrow \partial_\mu r$ is null $\Leftrightarrow$

$$g^{rr}(r = r_H) = 0$$

Charged Black Holes

$$ds^2 = -\Delta dt^2 + \frac{dr^2}{\Delta} + r^2 d\Omega^2, \quad \Delta = 1 - \frac{2GM}{r} + \frac{GQ^2}{r^2}$$

$$F = \frac{Q}{r^2} dt \wedge dr$$

$$g^{rr}(r=r_H) = 0$$

$\Downarrow$

$$\Delta(r=r_H) = 0$$

$\Downarrow$

outer horizon

$$r = GM \pm \sqrt{G^2 M^2 - GQ^2} \equiv r_{\pm}$$

inner horizon

Charged Black Holes

$$ds^2 = - \Delta dt^2 + \frac{dr^2}{\Delta} + r^2 d\Omega^2$$

$$\Delta = 1 - \frac{2GM}{r} + \frac{GQ^2}{r^2}$$

$$F = \frac{Q}{r^2} dt \wedge dr$$

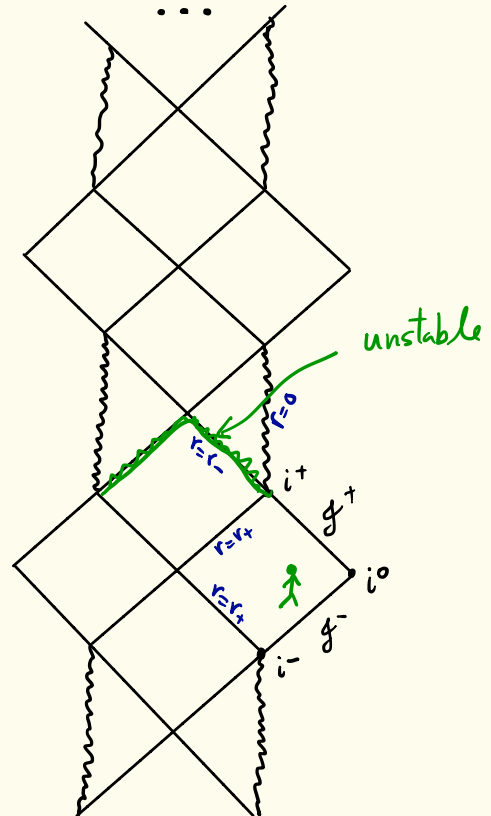
$$g^{rr}(r=r_H) = 0$$

$\Downarrow$

$$r = GM \pm \sqrt{G^2 M^2 - GQ^2} \equiv r_{\pm}$$

↗ outer horizon
↘ inner horizon

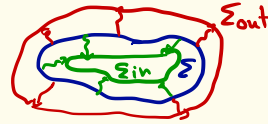
Penrose diagram for $GM^2 > Q^2$:



Do black holes actually form through gravitational collapse?

Probably yes because

- Singularity Theorems:



$$\begin{aligned} \text{area}(\Sigma_{\text{out}}) &< \text{area}(\Sigma) \quad \leftarrow \text{trapped} \\ \text{area}(\Sigma_{\text{in}}) &< \text{area}(\Sigma) \end{aligned}$$

trapped surface

+

strong energy cond.

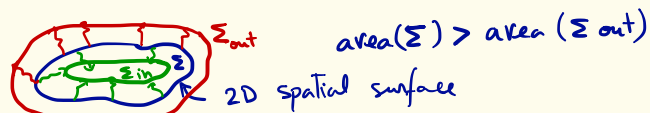
$\Rightarrow$ singularity

$$\left[T_{\mu\nu} t^\mu t^\nu \geq \frac{1}{2} T_\lambda{}^\lambda t^\sigma t_\sigma \quad \forall t^\mu \text{ time like} \right]$$

$\nearrow$ incomplete
timelike
geodesic

Do black holes actually form through gravitational collapse?

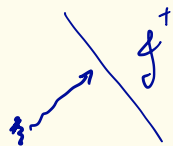
Probably yes because:



- Singularity Theorems: trapped surface + strong energy condition $\Rightarrow$ singularity

$$[T_{\mu\nu} t^\mu t^\nu \geq \frac{1}{2} T_{\lambda}{}^\lambda t^\sigma t_\sigma \quad \forall t^\mu \text{ timelike}]$$

incomplete timelike geodesic



- Cosmic censorship conjecture:

Naked singularities cannot form in gravitational collapse from generic, initially nonsingular states in an asymptotically flat spacetime obeying the dominant energy condition.

Hawking's area theorem :

• Weak energy condition

$$[T_{\mu\nu} t^\mu t^\nu \geq 0]$$

$\Rightarrow$

• Cosmic censorship

• asymptotic flatness

Area of future event horizon
is non-decreasing

$$dA \geq 0$$